

Applicant/Patentee: David R. Zittel, Steven W. Hughes, and Daniel D. MaupinSerial or Patent No.: (not assigned yet)

Filed or Issued: \_\_\_\_\_

Title: Method and Rotary Blancher For Processing Food Product**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(F) AND 1.27(C)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Lycor Manufacturing, Inc.ADDRESS OF CONCERN 115 Commercial Drive, P.O. Box 31  
Columbus, WI 53925

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties control, or has the power to control both.

I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Method and Rotary Blancher For Processing Food Product  
by inventors David R. Zittel, Steven W. Hughes, and Daniel D. Maupin  
described in

☒ the specification filed herewith☐ application Serial No. \_\_\_\_\_, filed \_\_\_\_\_☐ patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any persons, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual☐ Small Business Concern☐ Nonprofit Organization

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual☐ Small Business Concern☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

David R. Zittel

TITLE OF PERSON OTHER THAN OWNER

President

ADDRESS OF PERSON SIGNING

115 Commercial Drive, P.O. Box 31Columbus, WI 53925

SIGNATURE

David R. Zittel

DATE

10/13/99

# DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled *Method and Rotary Blancher for Processing Food Product*, which is described and claimed in

- ☒ the attached specification.
- ☐ the specification in application \_\_\_\_\_; filed on \_\_\_\_\_ and amended on \_\_\_\_\_ (if applicable).
- ☐ international \_\_\_\_\_; filed on \_\_\_\_\_ and as amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority Claimed
_____ (Number)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is known to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT International filing date of this application.

_____ (Application Number)	_____ (Filing Date)	_____ (Status - Patented, Pending, Abandoned)
_____ (Application Number)	_____ (Filing Date)	_____ (Status - Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: James E. Nilles, Reg. No. 16,663; Andrew J. Nilles, Reg. No. 31,786; James F. Boyle, Reg. No. 33,653; Timothy E. Newholm, Reg. No. 34,400; John J. Bruckner, Reg. No. 35,816; David G. Luetgen, Reg. No. 39,282; Michael J. Gratz, Reg. No. 39,693.

Address all telephone calls to **Andrew J. Nilles** at telephone number (414) 276-0977, facsimile number (414) 276-0982.

Address all correspondence to: **David D. Stein**  
NILLES & NILLES, S.C.  
777 East Wisconsin Avenue, Suite 2000  
Milwaukee, Wisconsin 53202-5345

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): **David R. Zittel**

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: **Columbus, Wisconsin**

Citizenship: **United States**

Post Office Address: **155 Oak Grove Drive  
Columbus, WI 53925**

**Title: Method and Rotary Blancher for Processing Food Product  
Declaration for D. Zittel, S. Hughes, and D. Maupin cont.**

Full name of second inventor (given name, family name): Steven W. Hughes

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Beaver Dam, Wisconsin

Citizenship: United States

Post Office Address: N8449 Coventry Lane  
Beaver Dam, WI 53916

Full name of third inventor (given name, family name): Daniel D. Maupin

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Columbus, Wisconsin

Citizenship: United States

Post Office Address: 100 Greenview Drive  
Columbus, WI 53925

G:\Data\CLIENT\LYCO\6-008-DEC.DOC